

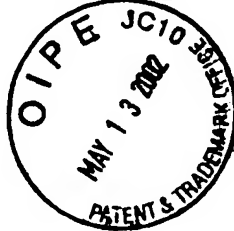


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/087,089	02/27/2002	Albert K. Chin	80121-06652

00758
FENWICK & WEST LLP
TWO PALO ALTO SQUARE
PALO ALTO, CA 94306



CONFIRMATION NO. 1991
FORMALITIES LETTER



Date Mailed: 04/05/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Teduo

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/15/2002 AWONDAF1 00000095 10087089

01 FC:105

130.00 OP



COPY OF PAPERS
ORIGINALLY FILED

PATENT *HB*

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

APPLICANT: Albert K. Chin
APPLICATION NO.: 10/087,089
FILING DATE: February 27, 2002
TITLE: TEMPORARY ARTERIAL SHUNT AND METHOD
EXAMINER: Unassigned
GROUP ART UNIT: 3761
ATTY. DKT. NO.: 80121-6652

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner For Patents, Washington, DC 20231, on the date shown below:

Dated: _____

5/3/02

By: _____

A. C. Smith

Albert C. Smith, Reg. No.: 20,355

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION

SIR:

Responsive to the Notice to File Missing Parts dated April 5, 2002 received in the above-identified patent application,

☒ Enclosed are:

- ☒ a copy of the Notice to File Missing Parts;
- ☒ an original, signed Declaration;
- ☒ an original, signed Power of Attorney;

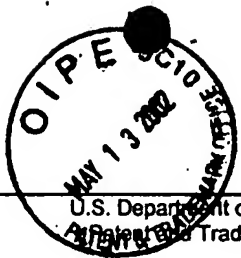
- ☒ an Application Data Sheet;
- ☒ payment in the amount of \$130.00 for the
- ☐ application filing fee;
 - ☐ fee for additional claims; and
 - ☒ missing parts surcharge.
- ☐ Other

☐ Applicant claims small entity status under 37 C.F.R. § 1.27.

Respectfully submitted,
ALBERT K. CHIN

Dated: 5/3/02

By: A. C. Smith
Albert C. Smith, Reg. No.: 20,355
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: 650-858-7296
Fax: 650-494-1417



COPY OF PAPERS
ORIGINALLY FILED

PTO/SB/21 (modified)

Approved for use through xx/xx/xx, OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	Application Number	10/087,089	
	Filing Date	February 27, 2002	
	First Named Inventor	Albert K. Chin	
	Group Art Unit Number	3761	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	9	Attorney Docket Number	80121-06652

ENCLOSURES (check all that apply)

- | | |
|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)
<input checked="" type="checkbox"/> Check Enclosed
<input checked="" type="checkbox"/> Return Receipt Postcard
<input checked="" type="checkbox"/> Response to Notice to File Missing Parts
<input type="checkbox"/> Assignment & Recordation Cover Sheet
<input checked="" type="checkbox"/> Declaration
<input checked="" type="checkbox"/> Power of Attorney
<input checked="" type="checkbox"/> Application Data Sheet
<input type="checkbox"/> Information Disclosure Statement & PTO-1449
<input type="checkbox"/> Copies of IDS Cited References
<input type="checkbox"/> Request for Corrected Filing Receipt
<input type="checkbox"/> Request for Correction of Recorded Assignment
<input type="checkbox"/> Amendment/Response: [] Page(s)
<input type="checkbox"/> After Final
<input type="checkbox"/> Status Request
<input type="checkbox"/> Revocation and Substitute Power of Attorney | <input type="checkbox"/> Issue Fee Transmittal
<input type="checkbox"/> Letter to Chief Draftsperson
<input type="checkbox"/> Formal Drawing(s):
[] Sheet(s) of Figure(s) []
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> After Allowance Communication to Group

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REMARKS:

SIGNATURE OF ATTORNEY OR AGENT

Signature:	<i>Albert C. Smith</i>		
Attorney/Reg. No.:	Albert C. Smith, Reg. No. 20,355	Dated:	5/3/02

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:	<i>Albert C. Smith</i>		
Typed or Printed Name:	Albert C. Smith	Dated:	5/3/02
Express Mail Mailing Number (optional):			

0002/PTO(modified)
Rev. 10/2001

U.S. Department of Commerce
Patent and Trademark Office

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$ 130.00)

Complete If Known	
Application Number	10/087,089
Filing Date	February 27, 2002
First Named Inventor	Albert K. Chin
Group Art Unit	3761
Examiner Name	Unassigned
Attorney Docket Number	80121-06652

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †
- ☐ Applicant claims small entity status See 37 CFR 1.27

Deposit Account Number: 19-2555

Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/\$130	205/\$85	Surcharge - late filing fee or oath	130
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	
147/\$2,520	147/\$2,520	For filing a request for reexamination	
115/\$110	215/\$55	Extension for response within first month†	
116/\$400	216/\$200	Extension for response within second month†	
117/\$920	217/\$460	Extension for response within third month†	
118/\$1,440	218/\$720	Extension for response within fourth month†	
128/\$1,960	228/\$980	Extension for response within fifth month†	
119/\$320	219/\$160	Notice of Appeal	
141/\$1,280	241/\$640	Petition to revive unintentionally abandoned application	
142/\$1,280	242/\$640	Utility Issue Fee (Or Reissue)	
143/\$460	243/\$230	Design Issue Fee	
122/\$130	122/\$130	Petitions to the Commissioner	
126/\$180	126/\$180	Submission of Information Disclosure Statement	
179/\$740	279/\$370	Request for Continued Examination (RCE)	
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	
146/\$740	246/\$370	Filing a submission after final rejection (37 CFR 1.129(a))	
149/\$740	249/\$370	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify):			
Other fee (specify):			
SUBTOTAL (3)			(\$ 130)

FEE CALCULATION (fees effective 10/01/2001)

1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$740	201/\$370	Utility Filing	
106/\$330	206/\$165	Design Filing	
108/\$740	208/\$370	Reissue	
114/\$160	214/\$80	Provisional Filing	
SUBTOTAL (1)			(\$ 0)

2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$84	202/\$42	Independent claims in excess of 3
104/\$280	204/\$140	Multiple dependent claim
109/\$84	209/\$42	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent

(Col. 1)		(Col. 2)		(Col. 3)		Fee		Fee Due
For	No. of Existing Claims	minus*	Highest No. Previously Paid For	=	Extra**	x		
TOTAL	38	minus*	20 or 0	=	0	x		0
INDEP	6	minus*	3 or 0	=	0	x		0
[] First presentation of multiple dependent claim								

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$ 0)

SUBMITTED BY

Typed or Printed Name Albert C. Smith

Signature

Albert C. Smith

Complete (if applicable)

Reg. Number 20,355

Date

5/3/02

† Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby